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## Density Functional Theory (DFT) Study on the Addition of Hydroxyl Radical (OH) to $C_{20}$

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The radical addition to the smallest fullerene  $C_{20}$  has been investigated by means of density functional theory (DFT) method in order to elucidate the radical scavenge mechanism of fullerene. The OH radical was examined as an organic radical because the radical has a high reactivity. The DFT calculation showed that the OH radical binds directly to the carbon atom of  $C_{20}$  and a strong C-O bond is formed. The binding energies of the first addition of OH radical were calculated to be 85.2 kcal/mol at the B3LYP/6-311G(d,p) level of theory. In the second radical addition, the binding energy of OH to  $C_{20}$ (OH) was 91.5 kcal/mol. The unpaired electron was distributed widely over the  $C_{20}$  surface in the  $C_{20}$ (OH) complex.

Keywords fullerene; DFT; radical addition; OH; hyperfine

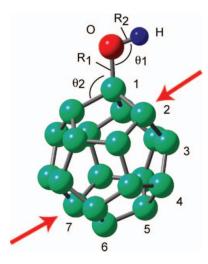
#### 1. Introduction

Fullerene and related compounds have some potential biological effects, e.g. antiviral activity, specific cleavage of DNA, inhibition of HIV protease, and photodynamic therapy [1], which has attracted considerable attention and has become a challenging research field. However, the fullerene is not soluble in water. The fullerol of  $C_{60}$ , hydroxyl radical is Figure 1 added to  $C_{60}$ , is one of the water-soluble fullerene derivatives to be suitable for biological study.

Electron spin resonance (ESR) spectroscopy and spin-trapping technique have proved that fullerol of  $C_{60}$  can efficient scavenge active oxygen radicals such as superoxide radical  $(O_2^-)$  [2] and hydroxyl radical (OH) [3]. Using time-resolved technique of laser photolysis, Lu et al. found that fullerol of  $C_{60}$  exists in aqueous solution and fullerol of  $C_{60}$  reacts with the primary irradiated products of water radiolysis [4]. Zeynalov Table 1investigated to determine the rate constants for addition of radicals to fullerenes [5].

 $C_{20}$  is the smallest fullerene which behaves a radical scavenger [6]. The reactions of  $C_{20}$  with radical species are a prototype of radical scavenger reaction. Therefore, the elucidation of reaction mechanism of  $C_{20}$  may shed light on the complicated reaction of  $C_{60}$ .

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**Figure 1.** Optimized structure of  $C_{20}(OH)$  calculated at the B3LYP/6-311G(d,p) level. Numbers indicate carbon atom on  $C_{20}$ . Arrow means active sites of  $C_{20}$  in the second OH addition (2 and 7 carbon atoms).

In the present study, density functional theory (DFT) method is applied to the interaction system of  $C_{20}$  with hydroxyl radical (OH). The reaction of radical addition to  $C_{20}$  is investigated using potential energy calculation.

#### 2. Method of Calculations

The  $C_{20}$  fullerene molecule and hydroxyl radical (OH) were examined as a fullerene and radical, respectively. The structures of  $C_{20}$  and OH radical adduct,  $C_{20}$ (OH), were fully optimized at the B3LYP/6–31G(d) and B3LYP/6-311G(d,p) levels of theory. The atomic charges were calculated using the natural bond population analysis (NPA) method at the B3LYP/6-31G(d) level.

All density functional theory (DFT) calculations were carried out using Gaussian 03 program package [7]. Previous studies showed that this level of theory give a reasonable electronic structure of carbon systems [8-17]. Electron spin operator  $\langle S^2 \rangle$  values of  $C_{20}(OH)$  were less than 0.765 at all points. The basis set super position error (BSSE) was estimated by the counter poise method at the B3LYP/6-311G(d,p) level.

**Table 1.** Optimized parameters of  $C_{20}(OH)$ .

Parameter	B3LYP/6-311G(d,p)
$\overline{R_1}$	1.390
$R_2$	0.96
$\theta_1$	108.1
$\theta_2$	120.5

#### 3. Results

#### 3.1. Structure and electronic states of $C_{20}(OH)$

The optimized structure of  $C_{20}(OH)$  is illustrated in and optimized parameters are given in . The C-OH and O-H distances are calculated to be  $R_1 = 1.392$  and  $R_2 = 0.964$  Å at the B3LYP/6-311G(d,p) level, respectively. The O-H distance of the free OH radical is 0.975 Å, indicating that the O-H bond is shortened by the addition to the surface of  $C_{20}$ . The binding energies of OH radical to  $C_{20}$  was calculated to be 85.2 kcal/mol at the B3LYP/6-311G(d,p) level and 87.0 kcal/mol at the B3LYP/6-31G(d) level. After the basis set super position error (BSSE) correction, the binding energy was changed to 80.8 kcal/mol.

#### 3.2. Interaction of $C_{20}$ with the OH radical

Potential energy curves are plotted in Figure 2 as a function of R(C-OH). Zero level of energy corresponding to total energy of  $C_{20}(OH)$  at the optimized geometry. At intermolecular separation of R(C-OH) = 4.0 Å (**point a**), the energy is +97.0 kcal/mol relative to the zero level. The shape of potential energy curves show that the interaction of OH radical with  $C_{20}$  is composed of two electronic states. At the entrance region (**points a and b**), potential energy is flat and slightly repulsive. At inner region (**points c**), the potential energy curve shows attractive and the energy is minimized at R(C-OH) = 1.395 Å. This is due to the electronic state of OH radical is changed from  $\pi$ - to  $\sigma$ -types at the crossing region.

The spacial distributions of spin density on  $C_{20}$ -OH system at selected points are illustrated in Figure 3. At **points a and b**, the unpaired electron is mainly distributed on the

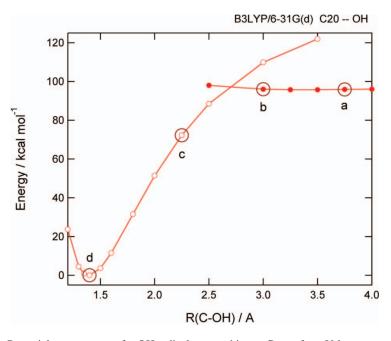
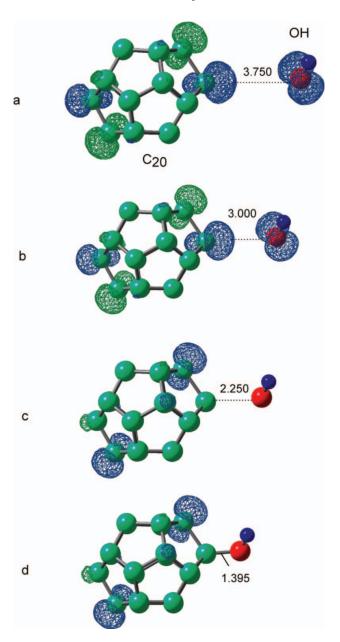


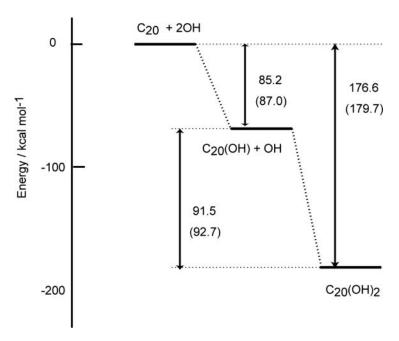
Figure 2. Potential energy curves for OH radical approaching to  $C_{20}$  surface. Values were calculated at the B3LYP/6-31G(d) level.



**Figure 3.** Spacial distribution of spin densities on  $C_{20}(OH)$ . The positions of OH radical are  $R_1 = (C-OH) = 3.75 \text{ Å}$  (a) 3.00 Å (b), 2.25 Å (c) and 1.395 Å (d). Calculation was carried out at the UB3LYP/6-31G (d) level.

OH radical, although the spin is slightly contaminated into the  $C_{20}$  surface. On the other hand, the spin density is only distributed on  $C_{20}$  near optimized structure.

The hyperfine coupling constant of proton (H-hfcc) gives an important information on the electronic states of radicals. The H-hfcc's of  $C_{20}(OH)$  and free OH radical were calculated to be -0.11 and -23.89 G, respectively. This result indicates that the Figure 4



**Figure 4.** Energy diagram of OH addition reaction to  $C_{20}$ . The values are calculated at the B3LYP/6-311G(d,p) level. The B3LYP/6-31G(d) values are given in parenthesis.

spin density of OH radical is vanished in the addition to  $C_{20}$ , namely, almost all unpaired electron is transferred from OH to  $C_{20}$  by the addition.

#### 3.3. Interaction of $C_{20}(OH)$ with the OH radical

To elucidate affinity of OH radical in  $C_{20}$ , the first and second radical additions to  $C_{20}$  were calculated at the B3LYP/6-311G(d,p) and 6-31G(d) levels. Six addition sites (2-7) were examined as the second addition to  $C_{20}$ . The first OH radical is connected to  $C_1$  atom, and the second OH radical binds to  $C_2$ - $C_7$  atoms. These sites are expressed by (1-2), (1-3), (1-4), and (1-7). The relative energies of the bind sites are given in Table 2. The second OH radical prefers the neighbor carbon atom ( $C_2$ ) and the opposite carbon atom ( $C_7$ ).

**Table 2.** Total energies (in Hartree) ite dependence of relative energies ( $\Delta E$  in kcal/mol). The calculations were carried out at the B3LYP/6-31G(d) level.

Site	ΔΕ
1–2	0.00
1–3	6.45
1–4	8.46
1–5	4.13
1–6	31.67
1–7	3.71

atom	B3LYP/6-311G(d,p)
C1	-0.01
C2	0.46
C3	-0.09
C4	0.02
C5	0.06
C6	-0.12
C7	0.42

**Table 3.** Spin densities on carbon atoms of  $C_{20}(OH)$ .

Spin densities on the carbon atoms of  $C_{20}(OH)$  are given in Table 3. It was found that the carbon atoms,  $C_2$  and  $C_7$ , have high spin densities. Therefore, the second OH radical binds preferentially to  $C_2$  and  $C_7$  atoms of  $C_{20}(OH)$  because the radical-radical recombination is energetically favored.

The energy diagram of the OH radical addition to  $C_{20}$  is illustrated in . The binding energies of the first and second OH additions were calculated to be 85.2 kcal/mol and 91.5 kcal/mol, respectively. The second addition is more favored than the first addition.

#### 4. Conclusion

In the present study, the DFT method has been applied to the interaction system between OH radical and smallest fullerene  $C_{20}$ . The DFT calculation showed that the OH radical binds directly to the carbon atom of  $C_{20}$  and a strong C-O bond is formed. The binding energies of the first addition of OH radical were calculated to be 85.2 kcal/mol at the B3LYP/6-311G(d,p) level of theory. In the second radical addition, the binding energy of OH to  $C_{20}$ (OH) was 91.5 kcal/mol. The unpaired electron was distributed widely over the  $C_{20}$  surface in the  $C_{20}$ (OH) complex.

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